



## UTILITY PATENT APPLICATION TRANSMITTAL



Attorney Docket No.: 20030087.ORI  
First Inventor: Stephen T. Anderson  
Title: METHOD OF OPTIMIZING PATIENT OUTCOME FROM CARDIAC  
RESYNCHRONIZATION THERAPY

Express Mail Label No.: EV315606316US

Address to: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### Application Elements:

1. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☒ Specification Total Pages: 19
3. ☒ Drawings Total Sheets: 9
4. Oath or Declaration Total Pages:
  - a. ☒ Newly executed.
  - b. ☐ Copy from prior application  
(for continuation/divisional with Box 13 completed)
5. ☒ Application Data Sheet.

### Accompanying Application Parts

6. ☐ Assignment Papers (cover sheet & document)
7. ☐ Information Disclosure Statement
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard

10. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
11. ☒ Certificate of Mailing Via Express Mail
12. ☒ Check in the amount of \$ 385.00
13. ☐ If a **CONTINUING APPLICATION** (check appropriate box and supply requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76):

☐ Continuation ☐ Divisional ☐ Continuation-in-Part

of prior application Serial No.:

Prior application information: Examiner: Group Art Unit:

☒ **Assignee Information for Inclusion on the Patent Application Publication:**

Assignee: CRA ASSOCIATES, LTD  
Address: 9 Evergreen Road  
North Oaks, Minnesota 55127

#### Method of Payment

☒ Payment enclosed by check to cover the filing and recording fees.

☒ The Commissioner is authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265 under Deposit Account name of Nikolai & Mersereau, P.A.

#### Correspondence Address:

Customer No.: 23595

Typed Attorney Name: C. G. Mersereau  
Attorney Registration No.: 26,205  
Telephone Number: 612-339-7461

Signature: C. G. Mersereau Date: 3/11/04

Express Mail No. EV315606316US

PATENT APPLICATION  
Docket No. 20030087.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Stephen T. Anderson et al  
: March 11, 2004

For : METHOD OF OPTIMIZING PATIENT OUTCOME FROM CARDIAC  
RESYNCHRONIZATION THERAPY  
-----

CERTIFICATE OF MAILING VIA EXPRESS MAIL

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached patent application consisting of 15 pages of specification, 3 pages of claims, Abstract, one copy of 9 sheets of formal patent drawings, 1 page of an executed Declaration, Power of Attorney, and Petition, a Utility Patent Application Transmittal, a Patent Application Data Entry Format, a return receipt postcard, and a check in the amount of \$385.00 in payment of the filing fees, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to: Mail Stop Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450, under Express Mail Post Office to Addressee Label No. EV315606316US.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



Barbara L. Davis  
On Behalf of C. G. Mersereau  
Attorney for Applicant  
900 Second Avenue South, #820  
Minneapolis, MN 55402  
(612) 339-7461